

# 2018 Annual Survey of Research and Development Expenditures and Personnel in Canadian Higher Education Sector Organizations

**CONFIDENTIAL once completed.**

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This information is collected under the authority of the *Statistics Act, Revised Statutes of Canada, 1985, Chapter S-19.*  
**COMPLETION OF THIS QUESTIONNAIRE IS A LEGAL REQUIREMENT UNDER THIS ACT.**

## Introduction

### Survey purpose

This survey's purpose is to collect information on the scientific activities of higher education sector organizations in Canada. This sector is composed of all universities, colleges of technology and other institutions providing formal tertiary education programs, as well as all research institutes, centres, experimental stations and clinics that are affiliated with higher education institutions.

The research and development expenditures and personnel information is used by federal, provincial and territorial governments and agencies, academics and international organizations for statistical analyses and policy purposes.

Your information may also be used by Statistics Canada for other statistical and research purposes.

### Security of emails and faxes

Statistics Canada advises you that there could be a risk of disclosure during facsimile or email. However upon receipt, Statistics Canada will provide the guaranteed level of protection afforded all information collected under the authority of the *Statistics Act*.

**Note:** Our online questionnaires are secure, there is no risk of data interception when responding to Statistics Canada online surveys.

### Confidentiality

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### Data-sharing agreements

To reduce respondent burden, Statistics Canada has entered into data-sharing agreements with provincial and territorial statistical agencies and other government organizations, which have agreed to keep the data confidential and use them only for statistical purposes.

Information on confidentiality, data-sharing agreements and record linkages can be found on the last page of this questionnaire.

**Please return the questionnaire within 21 days.**

**Please mail the completed questionnaire in the enclosed envelope or fax it to Statistics Canada at 1-888-883-7999.**

If you are unable to complete within 21 days **OR** if you need help, call us at **1-877-604-7828** or **TTY 1-800-363-7629**.

Statistics Canada  
Operations and Integration Division  
150 Tunney's Pasture Driveway  
Ottawa, Ontario K1A 0T6

**Visit our website, [www.statcan.gc.ca](http://www.statcan.gc.ca)**



## Business or organization and contact information

1. **Verify or provide** the business or organization's **legal and operating name** and correct where needed.

**Note:** Legal name modifications should only be done to correct a spelling error or typo.

Legal name

Operating name (if applicable)

2. **Verify or provide the contact information** of the designated business or organization **contact person** for this questionnaire and correct where needed.

**Note:** The designated contact person is the person who should receive this questionnaire. The designated contact person may not always be the one who actually completes the questionnaire.

First name

Last name

Title

Preferred language of communication

English

French

Mailing address (number and street)

City

Province, territory or state

Postal code or ZIP code

Example: A9A 9A9 or 12345-1234

Country

Email address

Example: user@example.gov.ca

Telephone number (including area code)

Example: 123-123-1234

Extension number

(if applicable)

Fax number (including area code)

Example: 123-123-1234

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3. **Verify or provide the current operational status** of the business or organization identified by the legal and operating name above.

B00323

1  Operational → **Go to question 4**

2  Not currently operational

e.g., temporarily or permanently closed, change of ownership

Why is this business or organization not currently operational?

B00309

2  Seasonal operations → **Go to question 3a**

3  Ceased operations → **Go to question 3b**

4  Sold operations → **Go to question 3c**

5  Amalgamated with other businesses or organizations → **Go to question 3d**

6  Temporarily inactive but will re-open → **Go to question 3e**

7  No longer operating due to other reasons → **Go to question 3f**

3a. Seasonal operations

When did this business or organization close for the season?

Date B00217      YYYY      MM      DD

When does this business or organization expect to resume operations?

Date B00218      YYYY      MM      DD      → **Go to question 4**

3b. Ceased operations

When did this business or organization cease operations?

Date B00211      YYYY      MM      DD

Why did this business or organization cease operations?

B00311

1  Bankruptcy

2  Liquidation

3  Dissolution

4  Other  
Specify the other reasons why the operations ceased

B00312

→ **Go to question 4**

3c. Sold operations

When was this business or organization sold?

YYYY MM DD  
B00212  
Date

What is the legal name of the buyer?

B00406

→ Go to question 4

3d. Amalgamated with other businesses or organizations

When did this business or organization amalgamate?

YYYY MM DD  
B00213  
Date

What is the legal name of the resulting or continuing business or organization?

B00407

What are the legal names of the other amalgamated businesses or organizations?

B00408

→ Go to question 4

3e. Temporarily inactive but will re-open

When did this business or organization become temporarily inactive?

YYYY MM DD  
B00214  
Date

When does this business or organization expect to resume operations?

YYYY MM DD  
B00215  
Date

Why is this business or organization temporarily inactive?

B00313

→ Go to question 4

3f. No longer operating due to other reasons

When did this business or organization cease operations?

YYYY MM DD  
B00216  
Date

Why did this business or organization cease operations?

B00314

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4. **Verify or provide the current main activity** of the business or organization identified by the legal and operating name.

**Note:** The described activity was assigned using the North American Industry Classification System (NAICS).

B05002

1  This is the current main activity → **Go to next section**

2  This is **not** the current main activity

Provide a **brief but precise description** of this business or organization's **main activity**

e.g., breakfast cereal manufacturing, shoe store, software development

B05003



5. Was this business or organization's main activity ever classified as:

B05111

1  Yes

2  No → **Go to next section**

6. When did the main activity change?

Date B00219

YYYY	MM	DD
<input type="text"/>	<input type="text"/>	<input type="text"/>

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## Reporting instructions

- Please print in ink.
- Report dollar amounts **in thousands of Canadian dollars**.
- **Exclude** sales tax.
- Percentages should be rounded to whole numbers.
- **Exclude** sales tax.
- Report dollar amounts **rounded to the nearest dollar**.
- Consult the reporting guide at [www.statcan.gc.ca/guides-e](http://www.statcan.gc.ca/guides-e) for further information.
- If this organization **performs in-house** research and development (R&D) and **makes payments for R&D performed by other organizations**, complete all questions.
- If this organization **performs in-house** research and development (R&D) and **does not make payments for R&D performed by other organizations**, complete questions 1 - 9, 11, 12 - 22.
- If this organization **makes payments for R&D performed by other organizations** and **does not perform in-house R&D**, complete questions 1 - 7, 9 - 11, 16, 20 - 22.
- If this organization **does not perform in-house R&D** and **does not make payments for R&D performed by other organizations**, complete questions 1 - 7, 9, 11, 16, 20 - 22.

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## In-house research and development (R&D) expenditures

7. In 2018, 2019 and 2020, did this organization have or does it plan to have expenditures for **R&D performed in-house** within Canada?

**Exclude** payments for R&D performed by others (e.g., funding, grants or contracted out R&D expenditures), which should be reported at question 10.

Yes

No ► **Go to question 9**

8. In 2018, 2019 and 2020, what are this organization's actual and planned expenditures for **R&D performed in-house** within Canada?

Please report all amounts in thousands of Canadian dollars.

Report '0' for no R&D expenditures.

	Made in 2018	Planned in 2019	Planned in 2020
	CAN\$ '000	CAN\$ '000	CAN\$ '000
<b>Current in-house R&amp;D expenditures within Canada</b>			
a. Wages, salaries of permanent, temporary and casual <b>R&amp;D</b> employees <b>Include</b> fringe benefits . . . . .	E45077_sr1 \$ [ ] ,000		
b. Services to support <b>R&amp;D</b> <b>Include</b> services of self-employed individuals or contractors who are working on-site on this organization's <b>R&amp;D</b> projects. <b>Exclude</b> contracted out or granted expenditures to other organizations to perform <b>R&amp;D</b> (report in question 10) . . . . .	E45077_sr2 \$ [ ] ,000		
c. <b>R&amp;D</b> materials . . . . .	E45077_sr3 \$ [ ] ,000		
d. All other current <b>R&amp;D</b> costs <b>Include</b> overhead costs . . . . .	E45077_sr4 \$ [ ] ,000		
<b>Total current in-house R&amp;D expenditures within Canada</b>	E45050 \$ [ ] ,000	E45079 \$ [ ] ,000	E45082 \$ [ ] ,000
<b>Capital in-house R&amp;D expenditures within Canada</b>			
e. Software <b>Exclude</b> capital depreciation . . . . .	E45078_sr1 \$ [ ] ,000		
f. Land <b>Exclude</b> capital depreciation . . . . .	E45078_sr2 \$ [ ] ,000		
g. Buildings and structures <b>Exclude</b> capital depreciation . . . . .	E45078_sr3 \$ [ ] ,000		
h. Equipment, machinery and all other capital <b>Exclude</b> capital depreciation . . . . .	E45078_sr4 \$ [ ] ,000		
<b>Total capital in-house R&amp;D expenditures within Canada</b>	E45060 \$ [ ] ,000	E45081 \$ [ ] ,000	E45083 \$ [ ] ,000
<b>2018 - Total in-house R&amp;D expenditures within Canada</b>	E45070 \$ [ ] ,000		

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## Payments for R&D performed by other organizations

9. In 2018, did this organization **make payments** to other organizations to perform **R&D** within Canada or outside Canada?

- Include:**
- funding or grants provided to other organizations to perform **R&D**
  - contracted out expenditures for **R&D**.

**Exclude** services of self-employed individuals or contractors who are working on-site on this organization's **R&D** projects, which should have been reported earlier as part of in-house **R&D** expenditures in question 8.

B05152\_tp 1  Yes

<sup>2</sup>  No ► **Go to question 11**

10. In 2018, what were this organization's **R&D payments to other organizations** within Canada or outside Canada?

Please report all amounts in **thousands of Canadian dollars**.

Report '0' for no R&D expenditures.

	Within Canada	Outside Canada
	CAN\$ '000	CAN\$ '000
a. Companies .....	E46096_sr1 \$ [ ] ,000	E43039_sr1 \$ [ ] ,000
b. Private non-profit organizations .....	E46096_sr2 \$ [ ] ,000	E43039_sr2 \$ [ ] ,000
c. Industrial research institutes or associations .....	E46096_sr3 \$ [ ] ,000	E43039_sr3 \$ [ ] ,000
d. Hospitals .....	E46096_sr4 \$ [ ] ,000	E43039_sr4 \$ [ ] ,000
e. Universities .....	E46096_sr5 \$ [ ] ,000	E43039_sr5 \$ [ ] ,000
f. Federal government departments and agencies .....	E46096_sr6 \$ [ ] ,000	
g. Provincial or territorial government departments, ministries and agencies .....	E46096_sr7 \$ [ ] ,000	
h. Provincial or territorial research organizations .....	E46096_sr8 \$ [ ] ,000	
i. Other organizations e.g., individuals, non-university educational institutions, foreign governments .....	E46096_sr9 \$ [ ] ,000	E43039_sr6 \$ [ ] ,000
<b>2018 – Total payments for R&amp;D performed by other organizations</b>	E46210 \$ [ ] ,000	E43030 \$ [ ] ,000

11. In 2019 and 2020, what are this organization's **planned payments** to other organizations to **perform R&D** within Canada or outside Canada?

Report '0' for no R&D expenditures.

	Within Canada	Outside Canada
<b>2019 – Total payments for R&amp;D performed by other organizations</b>	E46097 \$ [ ] ,000	E43041 \$ [ ] ,000
<b>2020 – Total payments for R&amp;D performed by other organizations</b>	E46098 \$ [ ] ,000	E43042 \$ [ ] ,000

## Geographic distribution of in-house R&D expenditures within Canada in 2018

12. In 2018, how were this organization's total expenditures for R&D performed in-house distributed by province or territory?

Report all amounts in thousands of Canadian dollars.

- Exclude:**
- payments for R&D performed by others (e.g., funding, grants or contracted out R&D expenditures), which should be reported at question 10
  - capital depreciation.

	Current in-house R&D expenditures	Capital in-house R&D expenditures
	CAN\$ '000	CAN\$ '000
a. Newfoundland and Labrador	E45077_g1 \$ [input] ,000	E45078_g1 \$ [input] ,000
b. Prince Edward Island	E45077_g2 \$ [input] ,000	E45078_g2 \$ [input] ,000
c. Nova Scotia	E45077_g3 \$ [input] ,000	E45078_g3 \$ [input] ,000
d. New Brunswick	E45077_g4 \$ [input] ,000	E45078_g4 \$ [input] ,000
e. Quebec	E45077_g5 \$ [input] ,000	E45078_g5 \$ [input] ,000
f. Ontario	E45077_g6 \$ [input] ,000	E45078_g6 \$ [input] ,000
g. Manitoba	E45077_g7 \$ [input] ,000	E45078_g7 \$ [input] ,000
h. Saskatchewan	E45077_g8 \$ [input] ,000	E45078_g8 \$ [input] ,000
i. Alberta	E45077_g9 \$ [input] ,000	E45078_g9 \$ [input] ,000
j. British Columbia	E45077_g10 \$ [input] ,000	E45078_g10 \$ [input] ,000
k. Yukon	E45077_g11 \$ [input] ,000	E45078_g11 \$ [input] ,000
l. Northwest Territories	E45077_g12 \$ [input] ,000	E45078_g12 \$ [input] ,000
m. Nunavut	E45077_g13 \$ [input] ,000	E45078_g13 \$ [input] ,000
<b>2018 - Total current and capital in-house R&amp;D expenditures</b>	E45100 \$ [input] ,000	E45110 \$ [input] ,000

▲  
Should equal total current in-house R&D expenditures from question 8

▲  
Should equal total capital in-house R&D expenditures from question 8

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## Sources of funds for in-house R&D expenditures in 2018

13. In 2018, what were the sources of funds for this organization's total expenditures for R&D performed in-house?

Please report all amounts in thousands of Canadian dollars.

**Include** Canadian and foreign sources.

**Exclude:**

- payments for R&D performed by others (e.g., funding, grants or contracted out R&D expenditures), which should be reported at question 10
- capital depreciation.

- a. Funds from this organization  
**Include** interest payments, fundraising and other income . . . . .
- b. Companies . . . . .
- c. Federal government grants or funding  
**Include** R&D grants or funding or R&D portion only of other grants or funding
- d. Federal government contracts  
**Include** R&D contracts or R&D portion only of other contracts . . . . .

	From within Canada	From outside Canada
	CAN\$ '000	CAN\$ '000
E64047	\$ [ ] ,000	E63037 \$ [ ] ,000
E65048	\$ [ ] ,000	E63038 \$ [ ] ,000
E64048	\$ [ ] ,000	
E65049	\$ [ ] ,000	

## Geographic distribution of provincial or territorial government grants or funding and provincial or territorial government contracts

- e. Newfoundland and Labrador . . . . .
- f. Prince Edward Island . . . . .
- g. Nova Scotia . . . . .
- h. New Brunswick . . . . .
- i. Quebec . . . . .
- i. Ontario . . . . .
- k. Manitoba . . . . .
- l. Saskatchewan . . . . .
- m. Alberta . . . . .
- n. British Columbia . . . . .
- o. Yukon . . . . .
- p. Northwest Territories . . . . .
- q. Nunavut . . . . .

	Provincial or territorial government grants or funding	Provincial or territorial government contracts
	CAN\$ '000	CAN\$ '000
E64049_g1	\$ [ ] ,000	E65051_g1: \$ [ ] ,000
E64049_g2	\$ [ ] ,000	E65051_g2 \$ [ ] ,000
E64049_g3:	\$ [ ] ,000	E65051_g3 \$ [ ] ,000
E64049_g4	\$ [ ] ,000	E65051_g4 \$ [ ] ,000
E64049_g5	\$ [ ] ,000	E65051_g5 \$ [ ] ,000
E64049_g6	\$ [ ] ,000	E65051_g6 \$ [ ] ,000
E64049_g7	\$ [ ] ,000	E65051_g7 \$ [ ] ,000
E64049_g8:	\$ [ ] ,000	E65051_g8 \$ [ ] ,000
E64049_g9	\$ [ ] ,000	E65051_g9 \$ [ ] ,000
E64049_g10	\$ [ ] ,000	E65051_g10 \$ [ ] ,000
E64049_g11	\$ [ ] ,000	E65051_g11 \$ [ ] ,000
E64049_g12	\$ [ ] ,000	E65051_g12 \$ [ ] ,000
E64049_g13	\$ [ ] ,000	E65051_g13 \$ [ ] ,000

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**Funds from private non-profit organizations**

**From within Canada**

**From outside Canada**

**CAN\$ '000**

**CAN\$ '000**

ae. GST number (9-digit business number (BN) or charitable registration number)

E65052\_nc1

E63039\_nc1

\$ [ ] ,000      \$ [ ] ,000

B71013\_nc1

Organization name

B71013\_nc2

af. GST number (9-digit business number (BN) or charitable registration number)

E65052\_nc2

E63039\_nc2

\$ [ ] ,000      \$ [ ] ,000

B71013\_nc3

Organization name

B71013\_nc4

ag. GST number (9-digit business number (BN) or charitable registration number)

E65052\_nc3

E63039\_nc3

\$ [ ] ,000      \$ [ ] ,000

B71013\_nc5

Organization name

B71013\_nc6

ah. Other sources, e.g., universities, foreign governments, individuals.....

E64051

E63041

\$ [ ] ,000      \$ [ ] ,000

**2018 - Total in-house R&D expenditures by sources of funds by origin (sum of questions a. to ah.) .....**

E60310

E60320

\$ [ ] ,000      \$ [ ] ,000

**2018 - Total in-house R&D expenditures (Canadian and foreign sources) (should equal total in-house R&D expenditures from question 8) .....**

E60330

\$ [ ] ,000

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## Fields of research and development for in-house R&D expenditures within Canada in 2018

14. In 2018, how were this organization's **total expenditures** for **R&D performed in-house** within Canada distributed by fields of research and development?

Please report all amounts in **thousands of Canadian dollars**.

**Report '0' for no R&D expenditures.**

**Exclude:**

- payments for R&D performed by others (e.g., funding, grants or contracted out R&D expenditures), which should be reported at question 10
- capital depreciation.

		CAN\$ '000
<b>Medical and health sciences</b>		E45084_y1
a.	Basic medicine .....	\$ <input type="text"/> ,000
		E45084_y2
b.	Clinical medicine .....	\$ <input type="text"/> ,000
		E45084_y3
c.	Health sciences .....	\$ <input type="text"/> ,000
		E45084_y4
d.	Medical biotechnology .....	\$ <input type="text"/> ,000
		E45084_y5
e.	Other medical sciences .....	\$ <input type="text"/> ,000
		E45120
<b>Total medical and health sciences in-house R&amp;D expenditures</b>		\$ <input type="text"/> ,000
<b>Other fields of research and development</b>		E45085
f.	Natural and formal sciences .....	\$ <input type="text"/> ,000
		E45086
g.	Engineering and technology .....	\$ <input type="text"/> ,000
		E45087
h.	Software-related sciences and technologies .....	\$ <input type="text"/> ,000
		E45088
i.	Agricultural sciences .....	\$ <input type="text"/> ,000
		E45089
j.	Social sciences and humanities .....	\$ <input type="text"/> ,000
		E45130
<b>2018 - Total in-house R&amp;D expenditures within Canada by field of research and development (should equal total in-house R&amp;D expenditures from question 8) (sum of questions a. to j.)</b>		\$ <input type="text"/> ,000

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## Nature of R&D for in-house R&D expenditures within Canada in 2018

15. In 2018, how were this organization's **total expenditures** for R&D performed in-house within Canada distributed by nature of R&D?

**Basic research** is experimental or theoretical work undertaken primarily to acquire new knowledge of the underlying foundation of phenomena and observable facts, without any particular application or use in view.

**Applied research** is original investigation undertaken in order to acquire new knowledge. It is, however, directed primarily towards a specific, practical aim or objective.

**Experimental development** is systematic work, drawing on knowledge gained from research and practical experience and producing additional knowledge, which is directed to producing new products or processes or to improving existing products or processes.

		Percentage of total in-house R&D expenditures
a. Basic research	.....	E45091_y1 <input type="text"/> <input type="text"/> <input type="text"/> %
b. Applied research	.....	E45091_y2 <input type="text"/> <input type="text"/> <input type="text"/> %
c. Experimental development	.....	E45091_y3 <input type="text"/> <input type="text"/> <input type="text"/> %
<b>Total percentage</b> .....		E45140 <b>1 0 0</b> %

## Results of R&D expenditures from 2016 to 2018

16. During the three (3) years **2016, 2017 and 2018**, did this organization's **total expenditures** for R&D performed in-house and payments for R&D made within or outside Canada lead to new or significant improvements to the following?

	Yes	No
a. Goods <b>Include</b> goods developed through new knowledge from research discoveries .....	B10033 1 <input type="radio"/>	2 <input type="radio"/>
b. Services <b>Include</b> ongoing knowledge transfer to physicians, first responders, patients and the general public. . .	B10034 1 <input type="radio"/>	2 <input type="radio"/>
c. Methods of manufacturing or producing goods and services .....	B10035 1 <input type="radio"/>	2 <input type="radio"/>
d. Logistics, delivery or distribution methods for this organization's inputs, goods or services . .	B10036 1 <input type="radio"/>	2 <input type="radio"/>
e. Supporting activities for this organization's processes, such as maintenance systems or operations for purchasing, accounting or computing. ....	B10037 1 <input type="radio"/>	2 <input type="radio"/>

## In-house R&D personnel in 2018

17. In 2018, how many **in-house R&D personnel** within Canada did this organization have in the following **R&D** occupations?

Please report in **full-time equivalents (FTE)**.

**Include** on-site consultants and contractors in line e.

**Full-time equivalent (FTE)**

**R&D** may be carried out by persons who work solely on **R&D** projects or by persons who devote only part of their time to **R&D**, and the balance to other activities such as testing, quality control and production engineering. To arrive at the total effort devoted to **R&D** in terms of personnel, it is necessary to estimate the full-time equivalent of these persons working only part-time in **R&D**.

**Full-time equivalent (FTE)** = Number of persons who work solely on **R&D** projects + the time of persons working only part of their time on **R&D**.

**Example calculation:** If out of four scientists engaged in **R&D** work, one works solely on **R&D** projects and the remaining three devote only one quarter of their working time to **R&D**, then:  $FTE = 1 + 1/4 + 1/4 + 1/4 = 1.75$  scientists.

**Researchers and research managers**

**Number of full-time equivalents**

a. Scientists, social scientists, engineers and researchers

**Include** software developers and programmers . . . . .

B20045\_y1

 . 

B20045\_y2

 . 

b. Senior research managers . . . . .

B20045\_y3

 .

**Total researchers and research managers**

**R&D technical, administrative and support staff**

c. Technicians, technologists and research assistants

**Include** software technicians . . . . .

B20045\_y4

 . 

B20045\_y5

 . 

d. Other **R&D** technical, administrative and support staff . . . . .

B20045\_y6

 .

**Total R&D technical, administrative and support staff**

**Other R&D occupations**

e. On-site **R&D** consultants and contractors . . . . .

B20045\_y7

 . 

B20040

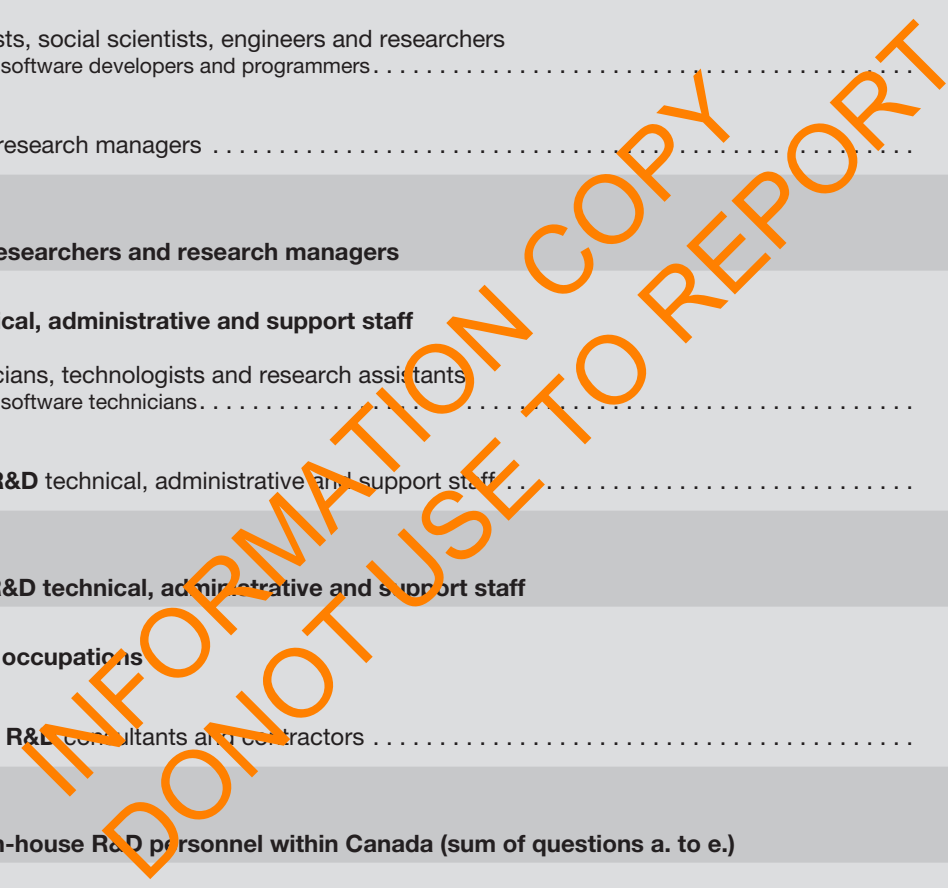
 .

**Total in-house R&D personnel within Canada (sum of questions a. to e.)**

**Percentage**

B20046

18. Of this organization's **total in-house R&D personnel** reported above, what percentage performed software-related activities? . . . . .

   %


19. In 2018, how were the total in-house R&D personnel distributed by province or territory?

Please report in full-time equivalents (FTE).

	Number of researchers and research managers	Number of R&D technical, administrative and support staff	Number of on-site R&D consultants and contractors
a. Newfoundland and Labrador . . . . .	B20047_g1	B20048_g1	B20049_g1
b. Prince Edward Island . . . . .	B20047_g2	B20048_g2	B20049_g2
c. Nova Scotia . . . . .	B20047_g3	B20048_g3	B20049_g3
d. New Brunswick . . . . .	B20047_g4	B20048_g4	B20049_g4
e. Quebec . . . . .	B20047_g5	B20048_g5	B20049_g5
f. Ontario . . . . .	B20047_g6	B20048_g6	B20049_g6
g. Manitoba . . . . .	B20047_g7	B20048_g7	B20049_g7
h. Saskatchewan . . . . .	B20047_g8	B20048_g8	B20049_g8
i. Alberta . . . . .	B20047_g9	B20048_g9	B20049_g9
j. British Columbia . . . . .	B20047_g10	B20048_g10	B20049_g10
k. Yukon . . . . .	B20047_g11	B20048_g11	B20049_g11
l. Northwest Territories . . . . .	B20047_g12	B20048_g12	B20049_g12
m. Nunavut . . . . .	B20047_g13	B20048_g13	B20049_g13
<b>Total in-house R&amp;D personnel within Canada</b>	B20050	B20060	B20070
	▲ Should equal total researchers and research managers from question 17	▲ Should equal total R&D technical, administrative and support staff from question 17	▲ Should equal total on-site consultants and contractors from question 17

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## Technology and technical assistance payments in 2018

20. In 2018, how much did this organization pay to other organizations for technology and technical assistance?

Report all amounts in thousands of Canadian dollars.

Report '1' for payments made between \$1 and \$999.

	Payments made within Canada		Payments made outside Canada	
	CAN\$ '000		CAN\$ '000	
<b>Payments made to affiliated organizations</b>				
a. Patents .....	E46099_pc1	\$ [ ] ,000	E43043_pc1	\$ [ ] ,000
b. Copyrights .....	E46099_pc2	\$ [ ] ,000	E43043_pc2	\$ [ ] ,000
c. Trademarks .....	E46099_pc3	\$ [ ] ,000	E43043_pc3	\$ [ ] ,000
d. Industrial designs .....	E46099_pc4	\$ [ ] ,000	E43043_pc4	\$ [ ] ,000
e. Integrated circuit topography .....	E46099_pc5	\$ [ ] ,000	E43043_pc5	\$ [ ] ,000
f. Original software .....	E46099_pc6	\$ [ ] ,000	E43043_pc6	\$ [ ] ,000
g. Packaged or off-the-shelf software .....	E46099_pc7	\$ [ ] ,000	E43043_pc7	\$ [ ] ,000
h. Databases (useful life exceeding one year) .....	E46099_pc8	\$ [ ] ,000	E43043_pc8	\$ [ ] ,000
i. Other technology and technical assistance Include technical assistance, industrial processes and know-how .....	E46099_pc9	\$ [ ] ,000	E43043_pc9	\$ [ ] ,000
<b>Total payments made to affiliated organizations (sum of questions a. to i.)</b> .....	E46220	\$ [ ] ,000	E43040	\$ [ ] ,000
<b>Payments made to other organizations, companies or individuals</b>				
j. Patents .....	E46101_pc1	\$ [ ] ,000	E43044_pc1	\$ [ ] ,000
k. Copyrights .....	E46101_pc2	\$ [ ] ,000	E43044_pc2	\$ [ ] ,000
l. Trademarks .....	E46101_pc3	\$ [ ] ,000	E43044_pc3	\$ [ ] ,000
m. Industrial designs .....	E46101_pc4	\$ [ ] ,000	E43044_pc4	\$ [ ] ,000
n. Integrated circuit topography .....	E46101_pc5	\$ [ ] ,000	E43044_pc5	\$ [ ] ,000
o. Original software .....	E46101_pc6	\$ [ ] ,000	E43044_pc6	\$ [ ] ,000
p. Packaged or off-the-shelf software .....	E46101_pc7	\$ [ ] ,000	E43044_pc7	\$ [ ] ,000
q. Databases (useful life exceeding one year) .....	E46101_pc8	\$ [ ] ,000	E43044_pc8	\$ [ ] ,000
r. Other technology and technical assistance Include technical assistance, industrial processes and know-how .....	E46101_pc9	\$ [ ] ,000	E43044_pc9	\$ [ ] ,000
<b>Total payments made to other organizations, companies or individuals (sum of question j. to r.)</b> .....	E46230	\$ [ ] ,000	E43050	\$ [ ] ,000
<b>Total payments made to other organizations for technology and technical assistance (sum of question a. to r.)</b> .....	E46260	\$ [ ] ,000	E43060	\$ [ ] ,000

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21. In 2018, how much did this organization receive from other organizations for technology and technical assistance?

Report all amounts in thousands of Canadian dollars.

Report '1' for payments received between \$1 and \$999.

**Payments received from affiliated organizations**

- a. Patents .....
- b. Copyrights .....
- c. Trademarks .....
- d. Industrial designs .....
- e. Integrated circuit topography .....
- f. Original software .....
- g. Packaged or off-the-shelf software .....
- h. Databases (useful life exceeding one year) .....
- i. Other technology and technical assistance  
**Include** technical assistance, industrial processes  
and know-how .....

**Payments received from within Canada**  
CAN\$ '000

**Payments received from outside Canada**  
CAN\$ '000

E46102_pc1	\$ [ ] ,000	E63042_pc1	\$ [ ] ,000
E46102_pc2	\$ [ ] ,000	E63042_pc2	\$ [ ] ,000
E46102_pc3	\$ [ ] ,000	E63042_pc3	\$ [ ] ,000
E46102_pc4	\$ [ ] ,000	E63042_pc4	\$ [ ] ,000
E46102_pc5	\$ [ ] ,000	E63042_pc5	\$ [ ] ,000
E46102_pc6	\$ [ ] ,000	E63042_pc6	\$ [ ] ,000
E46102_pc7	\$ [ ] ,000	E63042_pc7	\$ [ ] ,000
E46102_pc8	\$ [ ] ,000	E63042_pc8	\$ [ ] ,000
E46102_pc9	\$ [ ] ,000	E63042_pc9	\$ [ ] ,000
<b>E46200</b>	\$ [ ] ,000	<b>E63030</b>	\$ [ ] ,000

**Total payments received from affiliated organizations (sum of question a. to i.)**

**Payments received from other organizations, companies or individuals**

- j. Patents .....
- k. Copyrights .....
- l. Trademarks .....
- m. Industrial designs .....
- n. Integrated circuit topography .....
- o. Original software .....
- p. Packaged or off-the-shelf software .....
- q. Databases (useful life exceeding one year) .....
- r. Other technology and technical assistance  
**Include** technical assistance, industrial processes  
and know-how .....

E46103_pc1	\$ [ ] ,000	E63043_pc1	\$ [ ] ,000
E46103_pc2	\$ [ ] ,000	E63043_pc2	\$ [ ] ,000
E46103_pc3	\$ [ ] ,000	E63043_pc3	\$ [ ] ,000
E46103_pc4	\$ [ ] ,000	E63043_pc4	\$ [ ] ,000
E46103_pc5	\$ [ ] ,000	E63043_pc5	\$ [ ] ,000
E46103_pc6	\$ [ ] ,000	E63043_pc6	\$ [ ] ,000
E46103_pc7	\$ [ ] ,000	E63043_pc7	\$ [ ] ,000
E46103_pc8	\$ [ ] ,000	E63043_pc8	\$ [ ] ,000
E46103_pc9	\$ [ ] ,000	E63043_pc9	\$ [ ] ,000
<b>E46250</b>	\$ [ ] ,000	<b>E63040</b>	\$ [ ] ,000

**Total payments received from other organizations, companies or individuals (sum of question j. to r.)**

**Total payments received from other organizations for technology and technical assistance (sum of question a. to r.)**

<b>E46270</b>	\$ [ ] ,000	<b>E63050</b>	\$ [ ] ,000
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## Changes or events

1. Indicate any changes or events that affected the reported values for this business or organization compared with the last reporting period.

Mark all that apply.

B00316\_r1

Outsourcing of **R&D** project(s)

B00316\_r2

Initiation of new **R&D** project(s)

B00316\_r3

Completion of existing **R&D** project(s)

B00316\_r4

Major change in funding of **R&D** project(s) (loss of funding)

B00316\_r5

Major change in funding of **R&D** project(s) (increase in funding)

B00316\_r6

Organizational change that affected **R&D** activities (expansion, reduction, restructuring)

B00316\_r7

Economic change that affected **R&D** activities

B00316\_r11

Lack of availability of qualified **R&D** personnel

B00316\_r8

Other reason — specify:

B00316\_r9

**OR**

B00316\_r10

No changes or events

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## General information

### Confidentiality

#### Your answers are confidential.

By law, Statistics Canada is prohibited from releasing any information it collects that could identify any person, business, or organization, unless consent has been given by the respondent, or as permitted by the *Statistics Act*.

Statistics Canada will use information from this survey for statistical purposes.

### Data-sharing agreements

To reduce respondent burden, Statistics Canada has entered into data-sharing agreements with provincial and territorial statistical agencies and other government organizations, which have agreed to keep the data confidential and use them only for statistical purposes. Statistics Canada will only share data from this survey with those organizations that have demonstrated a requirement to use the data.

**Section 11** of the *Statistics Act* provides for the sharing of information with provincial and territorial statistical agencies that meet certain conditions. These agencies must have the legislative authority to collect the same information, on a mandatory basis, and the legislation must provide substantially the same provisions for confidentiality and penalties for disclosure of confidential information as the *Statistics Act*. Because these agencies have the legal authority to compel respondents to provide the same information, consent is not requested and respondents may not object to the sharing of the data.

For this survey, there are **Section 11** agreements with the provincial and territorial statistical agencies of Newfoundland and Labrador, Nova Scotia, New Brunswick, Quebec, Ontario, Manitoba, Saskatchewan, Alberta, British Columbia and the Yukon. The shared data will be limited to information on in-house research and development expenditures (Question 18) and in-house research and development personnel (Question 26) pertaining to higher education sector organizations located within the jurisdiction of the respective province or territory.

**Section 12** of the *Statistics Act* provides for the sharing of information with federal, provincial or territorial government organizations. Under **Section 12**, you may refuse to share your information with any of these organizations by writing a letter of objection to the Chief Statistician and returning it with the completed questionnaire. Please specify the organizations with which you do not want to share your data.

For this survey, there are **Section 12** agreements with the statistical agencies of Prince Edward Island, the Northwest Territories and Nunavut. The shared data will be limited to information on in-house research and development expenditures (Question 18) and in-house research and development personnel (Question 26) pertaining to higher education sector organizations located within the jurisdiction of the respective province or territory.

### Record linkages

To enhance the data from this survey and to reduce the reporting burden, Statistics Canada may combine the acquired data with information from other surveys or from administrative sources.

**Thank you for completing this questionnaire.**

**Please retain a copy for your records.**

**Visit our website, [www.statcan.gc.ca](http://www.statcan.gc.ca)**