



Quarterly Survey of Financial Statements (QSFS)
Investment Funds

Industrial Organization and Finance Division

F 5

This survey is conducted under the authority of the Statistics Act, Revised Statutes of Canada, 1985. Completion of this questionnaire is a legal requirement under the Statistics Act.

Confidential when completed

Si vous préférez ce questionnaire en français, veuillez cocher

checkbox

Please return completed copy within 30 days of quarter end

Fund Family - Identification Number

0

Company name
Address
City
Province / State
Postal Code / ZIP Code
Company official (to contact regarding this form)
Position
Telephone and Area Code
E-Mail address (case sensitive)
Facsimile and Area Code

Ext.

Please update any information that is incorrect on the label.

Company name
Address
City
Province / State
Postal Code / ZIP Code
Company official (to contact regarding this form)
Position
Telephone and Area Code
E-Mail address (case sensitive)
Facsimile and Area Code

Ext.

Our records indicate that your financial year ends in the month of: Correction to month:.....

Please report for your quarter ending within the calendar period of.....

Table with columns: Period covered by this report - Day, Month, Year; From: Day, Month, Year; To: Day, Month, Year

PURPOSE

The data you provide is used to prepare industry financial statements for the Canadian business sector and as input into the calculation of gross domestic product, the national balance sheet and Canada's international investment position.

CONFIDENTIALITY

Statistics Canada is prohibited by law from publishing any statistics which would divulge information obtained from this survey that relates to any identifiable institution without the previous written consent of that institution.

INSTRUCTIONS

- Report amounts in thousands of Canadian Dollars (\$'000s)
- Report negative amounts where cell numbers are parenthesized.
- If actual financial data is not available, estimates may be used in this report.

FURTHER INFORMATION

For further information, definitions of terms, or questions concerning this survey, please consult the "Quarterly Survey of Financial Statements Reporting Guide" (available at www.statcan.ca/english/sdds/501.htm) and/or contact Statistics Canada at:

Statistics Canada (OID), Jean Jacques Building, second floor/ C12, 170 Tunney's Pasture Driveway, Ottawa, Canada, K1A 0T6

Telephone: (877) 898-6686
Fax: (800) 552-4428
E-mail: QSFS-RTEF@statcan.ca

FAX OR OTHER ELECTRONIC TRANSMISSION DISCLOSURE

Statistics Canada advises you there could be risk of disclosure during the facsimile or other electronic transmission. However, upon receipt of your information, Statistics Canada will provide guaranteed level of protection afforded all information collected under the authority of the Statistics Act.

REPORTING ENTITY

Please explain any significant events, changes in accounting or basis of reporting that have impacted operations since the prior quarter. (if needed, additional space is available in the comments section on the last page).

Empty box for reporting entity details

Thank you for your co-operation

Fund Name

Statistics Canada QID Number

Balance Sheet Date

SECTION A – BALANCE SHEET

Account		Instructions
	ASSETS	Report gross values (before deducting provisions or allowances for losses).
1.	Cash and deposits	
	(a) Canadian Currency	Include demand and term deposits.
	(b) foreign currency	Include demand and term deposits.
2.	Accounts receivable	Report amount before deducting allowances for doubtful accounts.
3.	Allowance for doubtful accounts	Report amount related to accounts receivable.
4.	Canadian investments	
	(a) Government of Canada treasury bills	
	(b) bankers' acceptances and finance and other short term paper	Include securities with an original term-to-maturity of less than one year. Include provincial and municipal short-term bills and notes and short-term asset backed securities.
	(c) Government of Canada debt	
	(d) provincial and municipal government debt	Report short-term bills and notes at item 4 (b).
	(e) corporate bonds and debentures	Include mortgage bonds and asset or mortgage backed bonds. Report short-term paper at item 4 (b).
	(f) corporate shares and income trust units	Report share warrants and options at line 6.
	(g) investment fund shares or units	
	(h) other Canadian investments	Include precious metals and gold and silver certificates. Report derivatives at line 6.
5.	Foreign investments	Report cash and deposits at line 1, derivatives at line 6, mortgage loans at line 8 and provisions for losses at line 9.
	(a) money market instruments	Include commercial paper.
	(b) debt instruments	Include bonds, debentures and notes.
	(c) corporate shares	
	(d) investment fund units	
	(e) other foreign investments	

6.	Derivatives	Report gross asset positions. Include derivative contracts, warrants, and options. Report liability positions at line 16.
7.	Reverse repurchase agreements	Report liabilities related to repurchase agreements at line 17.
8.	Mortgage loans	Report provisions for losses at line 9.
9.	Other assets	Report assets not elsewhere specified. Include accumulated provisions for losses (credits) on investments and loans.
10.	TOTAL ASSETS (at cost)	Report the total value of assets at cost. Must balance with line 21.
11.	Unrealized appreciation of assets	Report the difference between the cost and market value of assets. Report accumulated unrealized gains as a positive amount and accumulated losses as a negative.
12.	Total assets (at market value)	Report total assets at current market (fair) value. This should equal the sum of lines 10 and 11.

SECTION B – LIABILITIES AND EQUITY

Account		Instructions
LIABILITIES		
13.	Accounts payable	Include accrued payables.
14.	Income taxes payable	Report income taxes recoverable at line 2.
15.	Overdrafts from lenders in Canada	Include Canadian and foreign lenders operating in Canada.
16.	Derivatives	Report gross liability positions. Report asset positions at line 6.
17.	Obligations related to repurchase agreements	Report reverse repurchase agreements at line 7.
18.	Other liabilities	Report liabilities not elsewhere specified.
19.	TOTAL LIABILITIES	
UNITHOLDER'S EQUITY		
	(a) opening balance	
	(b) net income (loss) for the current period	Transfer from line 39.
	(c) proceeds from the sale of units	
	(d) reinvestment of income in additional units	
	(e) other additions (deductions)	Please specify major items:
	(f) amounts paid on the redemption of units	
	(g) dividends declared	
	(h) closing balance	Sum of items 20 (a) to 20 (g).
21.	TOTAL LIABILITIES AND EQUITY	Should be equal to line 10.

ADDITIONAL INFORMATION	
22.	Unitholders' Equity (a) RRSP funds (b) other tax-sheltered funds (c) non tax-sheltered funds
	Exclude accumulated unrealized appreciation of assets.
	Include RESP, RPP, DPSP and other tax-sheltered plans.
23.	Total Unitholder's Equity
	Sum of items 22 (a) to 22 (c). This should equal item 20 (h).

SECTION C - INCOME STATEMENT

Account		Instructions
	Revenue (net of sales taxes)	
24.	Interest revenue from Canadian sources (a) bonds and debentures (1) interest revenue (2) amortization of discounts and (premiums) (b) mortgages (1) interest revenue (2) amortization of discounts and (premiums) (c) other interest revenue	
25.	Interest revenue from foreign sources	Include amortization of discounts and (premiums) on foreign investments.
26.	Dividends (a) from Canadian corporations (b) from foreign corporations	Include dividends received from Canadian trusts.
27.	Income allocated from other investment funds	
28.	Derivative gains (losses)	Report all values related to derivatives whether realized or unrealized. Also complete Section D (lines 40 to 44).
29.	Gains (losses) on the sale of assets	Report value before income taxes. Also complete Section D (lines 40 to 44).
30.	Other revenue	Report revenue from all other sources not disclosed above.
31.	TOTAL REVENUE	
	EXPENSES	
32.	Management fees	
33.	Transfer agent fees	
34.	Other expenses	
35.	TOTAL EXPENSES	

36.	Foreign currency gains (losses)	Report realized and unrealized values. Report amounts related to derivatives at line 28. Also complete Section D (lines 40 to 44).
37.	Income (loss) before income tax	
38.	Canadian income taxes	Report Canadian income tax debits and (credits).
39.	Net income (loss)	Transfer value to item 20 (b). Sum of lines 37 and 38.

SECTION D DISCLOSURE OF SELECTED ACCOUNTS

Account		Instructions
Matching of Income Statement's Gains and (Losses) With Related Assets and Liabilities.		Allocate the sum of gains and (losses) reported at lines 28, 29 and 36 to the assets and liabilities listed below.
	Corresponding Asset Accounts from Section A	
40.	4. Canadian investments (c) government of Canada debt (d) provincial and municipal government debt (e) corporate bonds and debentures (f) corporate shares and income trust units (g) investment fund shares or units (h) other Canadian investments	
41.	5. Foreign investments (a) money market instruments (b) debt instruments (c) corporate shares (d) investment fund units (e) other foreign investments	Include commercial paper. Include bonds, debentures and notes.
42.	8. Mortgage loans	
43.	Other assets and liabilities	Please specify major items and their values:

44.	TOTAL	Sum of lines 40 to 43. This should equal sum of lines 28, 29 and 36.
	Assets (at Market/ Fair Value)	
45.	Canadian government bonds	Include bonds related to items 4 (c) and 4 (d).
46.	Canadian corporate bonds	Include bonds related to item 4 (e).
47.	Canadian equity	Include equity related to items 4 (f) and 4 (g).
48.	Other Canadian investments	Include items 4 (a), 4 (b), and 4 (h).
49.	Foreign money market	Include foreign assets related to item 5 (a).
50.	Foreign debt instruments	Include foreign debt related to item 5 (b).
51.	Foreign equity	Include foreign equity related to items 5 (c) and 5 (d).
52.	Other foreign investments	Include foreign assets related to item 5 (e).
53.	Derivative assets	Include assets related to line 6.
54.	Other assets	Include lines 1, 2, 3, 7, 8 and 9.
55.	Total assets at market value	Sum of lines 45 to 54. This should equal line 12.
	Other Disclosure	
56.	Hours spent completing questionnaire	Report the approximate number of hours required to collect data and complete the questionnaire this quarter .
	5-4800-58.1: 2006-10-13 STC / IOF-435-60184	(F5 Questionnaire)

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15180		15180		15180	
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15220		15220		15220	
15230		15230		15230	
15270		15270		15270	
15280		15280		15280	

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	69100			69100			69100	
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	61300	0		61300	0		61300	0
	22100			22100			22100	
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	45120			45120			45120
	45210			45210			45210
	45220			45220			45220
	45800			45800			45800
	47200			47200			47200
	46000			46000			46000
	47100			47100			47100
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	56400			56400			56400
	48000			48000			48000
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	55884			55884			55884
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For the same time period as in Section C	For the same time period as in Section C	For the same time period as in Section C
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	80005			80005			80005	

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Please use this section to report any comments you may have

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