



# Quarterly Survey of Financial Statements Investment and Holding Management Companies

Confidential document when completed

Please return completed copy within 30 days of quarter end

Si vous préférez ce questionnaire en français, veuillez cocher

Please correct name and address, if necessary

## INFORMATION

### AUTHORITY

"This survey is conducted under the authority of the Statistics Act, Revised Statutes of Canada, 1985, c. S-19. Completion of this questionnaire is a legal requirement under the Statistics Act."

### PURPOSE

The information you provide is used in the preparation of industry financial statements for the Canadian business sector; also, these financial statements are used as inputs to the National Economic Accounts.

### CONFIDENTIALITY

Statistics Canada is prohibited by law from publishing any statistics which would divulge information obtained from this survey that relates to any identifiable institution without the previous written consent of that institution. The data reported on this questionnaire will be treated in confidence, used for statistical purposes and published in aggregate form only. The confidentiality provisions of the Statistics Act are not affected by either the Access to Information Act or any other legislation.

### INSTRUCTIONS

- Report amounts in thousands of Canadian Dollars.
- This report should cover Canadian operations only. Please exclude foreign branches, subsidiaries and affiliates.

- If actual financial data is not available, estimates may be used in this report.

### DEFINITIONS

The term "parent, subsidiaries and affiliates" used in this questionnaire covers non-consolidated parent, subsidiary and affiliated corporations; head offices, branches and divisions; affiliated joint ventures and partnerships; directors; officers and individual shareholders. Affiliated companies are corporations which are effectively controlled or whose operations are significantly influenced by the reporting entity or a common owner or group of owners.

### FURTHER INFORMATION

Please refer to " Financial Statistics Reporting Guide" or contact:

Operations and Integration Division  
Statistics Canada,  
Ottawa, Canada,  
K1A 0T6

Telephone: (877) 898-6686  
Fax: (800) 552-4428

## REPORTING ENTITY

### 1. This report covers (please mark one only)

- a single corporation
- part of a corporation (e.g. branch, division, head office)
- consolidated family corporations
- Other (please specify) \_\_\_\_\_

### 2. Does this reporting entity have investments in the partnerships or joint ventures?

- Yes
- No

### 3. Has this reporting entity purchased or sold business(es) during the current reporting period which affects assets or revenue by more than 10%?

- Yes
- No

● Please verify the enclosed profile of the entities covered by this report, correct it if necessary and return the profile document with the completed questionnaire.

● Please explain any significant changes in accounting or basis of reporting affecting the comparison of this return with that of the previous quarter.

This report covers the period from:

month \_\_\_\_\_ 2 0 | | | | to month \_\_\_\_\_ 2 0 | | | |

Company official to contact regarding this form  
(Please print)

Signature \_\_\_\_\_

\_\_\_\_\_ Date

Telephone number

( )

Facsimile number

( )

E-Mail address

## FOR STATISTICS CANADA USE ONLY

Rec'd:

Captured:

Analyzed:

Edited:

## SECTION A – BALANCE SHEET

Balance Sheet Date  
 01    

year	month	day

ASSETS		Thousands of dollars	
1.	<b>Cash and demand deposits</b> (report term deposits which are cashable on demand in item 2)		
1.1	Cash and Canadian currency demand deposits in chartered bank branches in Canada .....	11110	
1.8	Other Canadian currency demand deposits .....	11180	
1.9	Foreign currency demand deposits .....	11200	
2.	<b>Term deposits</b> (including bearer term notes, swapped deposits and deposit receipts, certificates, debentures and like instruments)		
2.1	Canadian currency		
2.1.1	Chartered bank branches in Canada .....	11631	
2.1.9	Other institutions .....	11638	
2.9	Foreign currency .....	11680	
3.	<b>Accounts receivable and accrued revenue</b> (before deducting allowance for doubtful accounts; including interest and dividends receivable; report amounts due from parent, subsidiaries and affiliates in item 4.3) ...	12100	
3.9	Less: Allowance for doubtful accounts .....	12800	( )
4.	<b>Investment in and claims on parent, subsidiaries and affiliates</b> (before deducting provision for losses - item 18)		
4.1	Cost of shares		
4.1.1	Canadian corporations .....	14110	
4.1.2	Foreign corporations .....	14120	
4.2	Accumulated earnings less dividends received (to be used only by corporations employing the equity method of accounting for unconsolidated subsidiaries and affiliates) .....	14200	
4.3	Loans, advances, mortgages, notes, bonds and other claims		
4.3.1	Canadian companies, officers and shareholders .....	14310	
4.3.2	Foreign companies, officers and shareholders .....	14320	
5.	<b>Canadian investments - non-affiliates, at amortized cost</b> (before deducting provisions or allowances for losses - item 18; excluding mortgage loans - item 7, other loans - item 8)		
5.1	Government of Canada treasury bills .....	15110	
5.2	Bankers' acceptances and financial, commercial and other short-term paper (with an original term to maturity of less than one year, including provincial and municipal government short-term bills and notes)	15120	
5.3	Government of Canada debt .....	15130	
5.4	Provincial and municipal government debt (excluding short-term paper with an original term to maturity of less than one year, including provincial and municipal government short-term bills and notes) .....	15140	
5.5	Corporate bonds and debentures (including mortgage bonds; exclude: short-term paper - item 5.2, debt issued by parent, subsidiaries and affiliates - item 4.3.1) .....	15150	
5.6	Corporate shares (including share warrants and options and mutual fund units) .....	15160	
5.9	Other Canadian investments (including precious metals, gold and silver certificates, commodity options, etc.) .....	15180	
6.	<b>Foreign investments - non affiliates, at amortized cost</b> (before deducting provisions or allowances for losses - item 18; exclude term deposits - item 2, mortgage loans - item 7, other loans - item 8) .....	15200	
7.	<b>Mortgage loans to non-affiliates</b> (before deducting provisions or allowances for losses - item 18) .....	16000	
8.	<b>Non-mortgage loans to non-affiliates</b> (before deducting provisions or allowances for losses - item 18) .....	17000	
9.	<b>Real estate held for income</b> (including properties held for sale; before deduction of accumulated depreciation and encumbrances) .....	18320	
10.	<b>Land and depreciable assets - gross</b> (including real estate, machinery and equipment, depletable assets, etc. for own use; before deduction of accumulated depreciation, amortization and depletion, and encumbrances) .....	18310	
11.	<b>Less: Accumulated depreciation on real estate held for income and fixed assets</b> .....	18350	( )
12.	<b>Intangible assets</b> (net of accumulated amortization) .....	19100	
15.	<b>Other assets</b> .....	19880	
18.	<b>Less: Accumulated provisions and allowances for losses on investments, loans and other assets, charged to expenses</b> .....	19810	( )
20.	<b>TOTAL ASSETS</b> .....	<b>10000</b>	

<b>SECTION A – BALANCE SHEET - Continued</b>		01	Balance Sheet Date <table border="1" style="display: inline-table; border-collapse: collapse;"> <tr> <td style="width: 20px; height: 20px;"></td> <td style="width: 20px; height: 20px;"></td> <td style="width: 20px; height: 20px;"></td> <td style="width: 20px; height: 20px;"></td> <td style="width: 20px; height: 20px;"></td> <td style="width: 20px; height: 20px;"></td> </tr> <tr> <td style="font-size: 8px;">year</td> <td style="font-size: 8px;">month</td> <td style="font-size: 8px;">day</td> <td colspan="3"></td> </tr> </table>							year	month	day			
year	month	day													
		Thousands of dollars													
21.	<b>LIABILITIES</b> <b>Accounts payable and accrued liabilities</b> (report amounts due to parent subsidiaries and affiliates in item 23) .....	22100													
22.	<b>Income taxes payable</b> (report income taxes recoverable in item 3) .....	23000													
23.	<b>Amounts owing to parent, subsidiaries and affiliates</b> (including accounts payable, notes, loans, mortgages and bonds)														
23.1	In Canada .....	24100													
23.2	Outside Canada .....	24200													
24.	<b>Borrowing – non-affiliates</b>														
24.1	Loans and overdrafts (exclude mortgaging loans - item 24.4)														
24.1.1	From chartered bank branches in Canada .....	25110													
24.1.9	From others (including capital lease obligations) .....	25180													
24.2	Bankers' acceptances and short-term notes and paper (original term to maturity of less than one year; report long-term under item 24.3) .....	25200													
24.3	Bonds and debentures (including only debt securities offered to the public, and private placements; including mortgage bonds) .....	25400													
24.4	Mortgage loans .....	25500													
28.	<b>Deferred income taxes</b> .....	27000													
29.	<b>Minority interest in subsidiaries consolidated in this report</b> .....	28000													
39.	<b>Other liabilities</b> (including unrealized gains and losses on the translation of foreign currency) .....	29000													
40.	<b>TOTAL LIABILITIES</b> .....	<b>20000</b>													
<b>SHAREHOLDERS' EQUITY</b>															
41.	<b>Share capital</b>														
41.1	Preferred .....	31100													
41.2	Common .....	31200													
42.	<b>Contributed surplus</b> .....	33000													
43.	<b>Appraisal increase credits (debits)</b> .....	34000													
49.	<b>Retained earnings</b> .....	35000													
50.	<b>TOTAL SHAREHOLDERS' EQUITY</b> .....	<b>30000</b>													
60.	<b>TOTAL LIABILITIES AND SHAREHOLDERS' EQUITY</b> .....	<b>39000</b>													

**SUPPLEMENTARY QUESTION**

<b>Amounts owing to parent, subsidiaries and affiliates</b> (related to item 23)			
(i)	interest bearing debt .....	61405	
(ii)	non-interest bearing debt .....	61407	

**SECTION B - STATEMENT OF RETAINED EARNINGS**

02		Period Covered		
	From			
03	To			
		year	month	day

		Thousands of dollars					
65.	<b>Retaining earnings, opening balance</b> .....	38100					
<b>ADD (Deduct):</b>							
66.	<b>Net income (loss) for the current period</b> (transfer from item 103) .....	38200					
69.	<b>Other additions (deductions)</b> – Please specify major items .....	38700					
.....							
.....							
<b>DEDUCT:</b>							
70.	<b>Dividends declared</b>						
70.1.	Cash: Preferred Shares <table border="1" style="display: inline-table; border-collapse: collapse; vertical-align: middle;"> <tr> <td style="width: 40px; text-align: center;">38804</td> <td style="width: 40px;"></td> </tr> <tr> <td style="text-align: center;">38806</td> <td></td> </tr> </table> Common Shares	38804		38806		38810	Cash dividends declared – total .....
38804							
38806							
70.2.	Other .....	38880					
80.	<b>RETAINED EARNINGS, CLOSING BALANCE (SHOULD EQUAL ITEM 49)</b> .....	<b>38000</b>					



<b>SUPPLEMENTARY QUESTIONS - INCOME STATEMENT</b>		Period Covered 02 From <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> 03 To <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <small>year month day</small>
A.	<b>Dividends received from corporations accounted for on the equity method and credited to the investment account</b>	Thousands of dollars
	Canadian .....	75100
	Foreign .....	75200
B.	<b>Unusual revenues, expenses, gains/losses from continuing business operations</b> (reported in income statement) .....	74700
C.	<b>Interest expense on debt owing to parent, subsidiaries and affiliates</b> (reported in item 94.3) .....	74100
D.	<b>Average number of people employed during the reporting period</b> .....	80003
E.	<b>Does your enterprise have revenue between divisions or companies that are eliminated upon the consolidation of your accounts?</b> <input type="checkbox"/> No <input type="checkbox"/> Yes (If yes specify)	<b>Total amount of these eliminations (in thousands of dollars)</b> 40012

**A SUPPLEMENTARY SCHEDULE A: DISTRIBUTION OF REALIZED AND UNREALIZED GAINS (LOSSES) AND WRITE-UPS (WRITE-DOWNS)**  
 – item numbers refer to corresponding balance sheet items

		Thousands of dollars
4.	<b>Investments in and claims on parent, subsidiaries and affiliates</b>	
4.1	Cost of shares	
4.1.1	Canadian corporations .....	81211
4.1.2	Foreign corporations .....	81212
4.3	Loans, advances, mortgages, notes, bonds and other claims	
4.3.1	Canadian companies, directors, officers and shareholders .....	81221
4.3.2	Foreign companies, directors, officers and shareholders .....	81222
5.	<b>Canadian investments</b>	
5.3	Government of Canada debt .....	81310
5.4	Provincial and municipal government debt .....	81320
5.5	Corporate bonds and debentures .....	81330
5.6	Corporate shares .....	81340
5.9	Other Canadian investments .....	81380
6.	<b>Foreign investments</b> .....	81400
7.	<b>Mortgage loans</b> .....	81500
8.	<b>Non-mortgage loans</b> .....	81600
9.	<b>Real estate held for income</b> .....	81720
10.	<b>Land and depreciable assets</b> .....	81730
	<b>Other assets and liabilities</b> (please specify) .....	81800
	.....	
	<b>Total</b> (should equal sum of items 85, 97, 98 and 102.3) .....	<b>81000</b>

**SUPPLEMENTARY SCHEDULE B: YEAR-END AND PRIOR YEAR'S ADJUSTMENTS**

If this report covers your fourth fiscal quarter and the reported revenues, expenses and capital expenditures include year-end adjustments (e.g. adjustments from preliminary estimates to actual, or year-end accruals not made in the interim financial statements)

OR

If this report includes adjustments through retained earnings pertaining to the prior year's operations (e.g. year-end adjustments of the prior year made after the prior year's fourth quarter report was prepared):

**SPECIFY BELOW THE REVENUE, EXPENSE, OR CAPITAL EXPENDITURE ACCOUNTS AFFECTED BY THE ADJUSTMENTS, AND THE AMOUNT OF INCREASE OR DECREASE.** Report only adjustments in excess of \$500 thousand.

ITEM DESCRIPTION	ITEM NUMBER	INCREASE	DECREASE
<small>Thousands of dollars</small>			

**Approximately how many hours did you spend collecting the data and completing this questionnaire?**  hours