



Quarterly Survey of Financial Statements

Investment and Holding Management Companies

Confidential document when completed

Please return completed copy within 30 days of quarter end

Si vous préférez ce questionnaire en français, veuillez cocher

Please correct name and address, if necessary

INFORMATION

AUTHORITY

"This survey is conducted under the authority of the Statistics Act, Revised Statutes of Canada, 1985, c. S-19. Completion of this questionnaire is a legal requirement under the Statistics Act."

PURPOSE

The information you provide is used in the preparation of industry financial statements for the Canadian business sector; also, these financial statements are used as inputs to the National Economic Accounts.

CONFIDENTIALITY

Statistics Canada is prohibited by law from publishing any statistics which would divulge information obtained from this survey that relates to any identifiable institution without the previous written consent of that institution. The data reported on this questionnaire will be treated in confidence, used for statistical purposes and published in aggregate form only. The confidentiality provisions of the Statistics Act are not affected by either the Access to Information Act or any other legislation.

INSTRUCTIONS

- Report amounts in thousands of Canadian Dollars.
- This report should cover Canadian operations only. Please exclude foreign branches, subsidiaries and affiliates.

- If actual financial data is not available, estimates may be used in this report.

DEFINITIONS

The term "parent, subsidiaries and affiliates" used in this questionnaire covers non-consolidated parent, subsidiary and affiliated corporations; head offices, branches and divisions; affiliated joint ventures and partnerships; directors; officers and individual shareholders. Affiliated companies are corporations which are effectively controlled or whose operations are significantly influenced by the reporting entity or a common owner or group of owners.

FURTHER INFORMATION

Please refer to "Financial Statistics Reporting Guide" or contact:

Operations and Integration Division
Statistics Canada,
Ottawa, Canada,
K1A 0T6

Telephone: (877) 898-6686
Fax: (800) 552-4428

REPORTING ENTITY

1. This report covers (please mark one only)

a single corporation

part of a corporation (e.g. branch, division, head office)

consolidated family corporations

Other (please specify) _____

2. Does this reporting entity have investments in the partnerships or joint ventures?

Yes No

3. Has this reporting entity purchased or sold business(es) during the current reporting period which affects assets or revenue by more than 10%?

Yes No

- Please verify the enclosed profile of the entities covered by this report, correct it if necessary and return the profile document with the completed questionnaire.
- Please explain any significant changes in accounting or basis of reporting affecting the comparison of this return with that of the previous quarter.

This report covers the period from:

month _____ 2 0 | | to month _____ 2 0 | |

Company official to contact regarding this form (Please print)

Signature _____	Telephone number () _____	Facsimile number () _____
Date _____	E-Mail address _____	

FOR STATISTICS CANADA USE ONLY

Rec'd:	Captured:	Analyzed:	Edited:
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SECTION A – BALANCE SHEET

01	Balance Sheet Date
_ _	_ _ _ _
year	month day

		Thousands of dollars	
ASSETS			
1.	Cash and demand deposits (report term deposits which are cashable on demand in item 2)		
1.1	Cash and Canadian currency demand deposits in chartered bank branches in Canada	11110	
1.8	Other Canadian currency demand deposits	11180	
1.9	Foreign currency demand deposits	11200	
2.	Term deposits (including bearer term notes, swapped deposits and deposit receipts, certificates, debentures and like instruments)		
2.1	Canadian currency		
2.1.1	Chartered bank branches in Canada	11631	
2.1.9	Other institutions	11638	
2.9	Foreign currency	11680	
3.	Accounts receivable and accrued revenue (before deducting allowance for doubtful accounts; including interest and dividends receivable; report amounts due from parent, subsidiaries and affiliates in item 4.3)	12100	
3.9	Less: Allowance for doubtful accounts	12800)
4.	Investment in and claims on parent, subsidiaries and affiliates (before deducting provision for losses - item 18)		
4.1	Cost of shares		
4.1.1	Canadian corporations	14110	
4.1.2	Foreign corporations	14120	
4.2	Accumulated earnings less dividends received (to be used only by corporations employing the equity method of accounting for unconsolidated subsidiaries and affiliates)	14200	
4.3	Loans, advances, mortgages, notes, bonds and other claims		
4.3.1	Canadian companies, officers and shareholders	14310	
4.3.2	Foreign companies, officers and shareholders	14320	
5.	Canadian investments - non-affiliates, at amortized cost (before deducting provisions or allowances for losses - item 18; excluding mortgage loans - item 7, other loans - item 8)		
5.1	Government of Canada treasury bills	15110	
5.2	Bankers' acceptances and finance, commercial and other short-term paper (with an original term to maturity of less than one year; including provincial and municipal government short-term bills and notes)	15120	
5.3	Government of Canada debt	15130	
5.4	Provincial and municipal government debt (excluding short-term bills and notes - item 5.2)	15140	
5.5	Corporate bonds and debentures (including mortgage bonds; exclude: short-term paper - item 5.2, debt issued by parent, subsidiaries and affiliates - item 4.3.1)	15150	
5.6	Corporate shares (including share warrants, options and fund units)	15160	
5.9	Other Canadian investments (including precious metals, gold and silver certificates, commodity options, etc.)	15180	
6.	Foreign investments - non affiliates, at amortized cost (before deducting provisions or allowances for losses - item 18; exclude term deposits - item 2, mortgage loans - item 7, other loans - item 8)	15200	
7.	Mortgage loans to non-affiliates (before deducting provisions or allowances for losses - item 18)	16000	
8.	Non-mortgage loans to non-affiliates (before deducting provisions or allowances for losses - item 18)	17000	
9.	Real estate held for income (including properties held for sale; before deduction of accumulated depreciation and encumbrances)	18320	
10.	Land and depreciable assets - gross (including real estate, machinery and equipment, depletable assets, etc. for own use; before deduction of accumulated depreciation, amortization and depletion, and encumbrances)	18310	
11.	Less: Accumulated depreciation on real estate held for income and fixed assets	18350)
12.	Intangible assets (net of accumulated amortization)	19100	
15.	Other assets (report deferred tax debits on line 28)	19880	
18.	Less: Accumulated provisions and allowances for losses on investments, loans and other assets, charged to expenses	19810)
20.	TOTAL ASSETS	10000	

SECTION A – BALANCE SHEET - Continued

01	Balance Sheet Date		
	year	month	day

		Thousands of dollars	
LIABILITIES			
21.	Accounts payable and accrued liabilities (report amounts due to parent subsidiaries and affiliates in item 23)	22100	
22.	Income taxes payable (report income taxes recoverable in item 3)	23000	
23.	Amounts owing to parent, subsidiaries and affiliates (including accounts payable, notes, loans, mortgages and bonds)		
23.1	In Canada	24100	
23.2	Outside Canada	24200	
24.	Borrowing – non-affiliates		
24.1	Loans and overdrafts (exclude mortgage loans - item 24.4)		
24.1.1	With chartered bank branches in Canada	25110	
24.1.9	With others (including capital lease obligations)	25180	
24.2	Bankers' acceptances and short-term notes and paper (original term to maturity of less than one year; report long-term under item 24.3)	25200	
24.3	Bonds and debentures (including only debt securities offered to the public, and private placements; including mortgage bonds)	25400	
24.4	Mortgage loans	25500	
28.	Future income taxes (include debit balances)	27000	
29.	Minority interest in subsidiaries consolidated in this report	28000	
39.	Other liabilities (including unrealized gains and losses on the translation of foreign currency)	29000	
40.	TOTAL LIABILITIES	20000	
SHAREHOLDERS' EQUITY			
41.	Share capital		
41.1	Preferred	31100	
41.2	Common	31200	
42.	Contributed surplus	33000	
43.	Appraisal increase credits (debits)	34000	
49.	Retained earnings	35000	
50.	TOTAL SHAREHOLDERS' EQUITY	30000	
60.	TOTAL LIABILITIES AND SHAREHOLDERS' EQUITY	39000	

SUPPLEMENTARY QUESTION

Amounts owing to parent, subsidiaries and affiliates (related to item 23)			
(i)	interest bearing debt	61405	
(ii)	non-interest bearing debt	61407	

SECTION B - STATEMENT OF RETAINED EARNINGS

02	Period Covered		
	From		
		year	month
03	To		
		year	month
		day	

		Thousands of dollars					
65.	Retaining earnings, opening balance	38100					
ADD (Deduct):							
66.	Net income (loss) for the current period (transfer from item 103)	38200					
69.	Other additions (deductions) – Please specify major items	38700					
DEDUCT:							
70.	Dividends declared						
70.1.	Cash: Preferred Shares <table border="1" style="display: inline-table; vertical-align: middle;"><tr><td align="center">38804</td><td></td></tr><tr><td align="center">38806</td><td></td></tr></table> Common Shares	38804		38806		38810	Cash dividends declared – total
38804							
38806							
70.2.	Other	38880					
80.	RETAINED EARNINGS, CLOSING BALANCE (SHOULD EQUAL ITEM 49)	38000					

SUPPLEMENTARY QUESTIONS - INCOME STATEMENT		Period Covered						
02	From	<table border="1" style="display: inline-table; border-collapse: collapse;"> <tr><td style="width: 20px; height: 20px;"> </td><td style="width: 20px; height: 20px;"> </td><td style="width: 20px; height: 20px;"> </td></tr> </table>						
03	To	<table border="1" style="display: inline-table; border-collapse: collapse;"> <tr><td style="width: 20px; height: 20px;"> </td><td style="width: 20px; height: 20px;"> </td><td style="width: 20px; height: 20px;"> </td></tr> <tr><td style="font-size: 8px;">year</td><td style="font-size: 8px;">month</td><td style="font-size: 8px;">day</td></tr> </table>				year	month	day
year	month	day						

A.	Dividends received from corporations accounted for on the equity method and credited to the investment account	Thousands of dollars	
	Canadian	75100	
	Foreign	75200	
B.	Unusual revenues, expenses, gains/losses from continuing business operations (reported in income statement)	74700	
C.	Interest expense on debt owing to parent, subsidiaries and affiliates (reported in item 94.3)	74100	
D.	Average number of people employed during the reporting period	80003	
E.	Does your enterprise have revenue between divisions or companies that are eliminated upon the consolidation of your accounts? <input type="checkbox"/> No <input type="checkbox"/> Yes (If yes specify) 40012	Total amount of these eliminations (in thousands of dollars)	

A SUPPLEMENTARY SCHEDULE A: DISTRIBUTION OF REALIZED AND UNREALIZED GAINS (LOSSES) AND WRITE-UPS (WRITE-DOWNS)
 – item numbers refer to corresponding balance sheet items

		Thousands of dollars	
4.	Investments in and claims on parent, subsidiaries and affiliates		
4.1	Cost of shares		
4.1.1	Canadian corporations	81211	
4.1.2	Foreign corporations	81212	
4.3	Loans, advances, mortgages, notes, bonds and other claims		
4.3.1	Canadian companies, directors, officers and shareholders	81221	
4.3.2	Foreign companies, directors, officers and shareholders	81222	
5.	Canadian investments		
5.3	Government of Canada debt	81310	
5.4	Provincial and municipal government debt	81320	
5.5	Corporate bonds and debentures	81330	
5.6	Corporate shares	81340	
5.9	Other Canadian investments	81380	
6.	Foreign investments	81400	
7.	Mortgage loans	81500	
8.	Non-mortgage loans	81600	
9.	Real estate held for income	81720	
10.	Land and depreciable assets	81730	
	Other assets and liabilities (please specify)	81800	
	Total (should equal sum of items 85, 97, 98 and 102.3)	81000	

SUPPLEMENTARY SCHEDULE B: YEAR-END AND PRIOR YEAR'S ADJUSTMENTS

If this report covers your fourth fiscal quarter and the reported revenues, expenses and capital expenditures include year-end adjustments (e.g. adjustments from preliminary estimates to actual, or year-end accruals not made in the interim financial statements)
 OR
 If this report includes adjustments through retained earnings pertaining to the prior year's operations (e.g. year-end adjustments of the prior year made after the prior year's fourth quarter report was prepared):
SPECIFY BELOW THE REVENUE, EXPENSE, OR CAPITAL EXPENDITURE ACCOUNTS AFFECTED BY THE ADJUSTMENTS, AND THE AMOUNT OF INCREASE OR DECREASE. Report only adjustments in excess of \$500 thousand.

ITEM DESCRIPTION	ITEM NUMBER	INCREASE	DECREASE
Thousands of dollars			

Approximately how many hours did you spend collecting the data and completing this questionnaire? hours