



Quarterly Survey of Financial Statements Financial Services

F6

Confidential document when completed

Please return completed copy within 30 days of quarter end

Si vous préférez ce questionnaire en français, veuillez cocher

Please correct name and address, if necessary

INFORMATION

AUTHORITY

"This survey is conducted under the authority of the Statistics Act, Revised Statutes of Canada, 1985, c. S-19. Completion of this questionnaire is a legal requirement under the Statistics Act."

PURPOSE

The information you provide is used in the preparation of industry financial statements for the Canadian financial sector; also, these financial statements are used as inputs to the National Economic Accounts.

CONFIDENTIALITY

Statistics Canada is prohibited by law from publishing any statistics which would divulge information obtained from this survey that relates to any identifiable institution without the previous written consent of that institution. The data reported on this questionnaire will be treated in confidence, used for statistical purposes and published in aggregate form only. The confidentiality provisions of the Statistics Act are not affected by either the Access to Information Act or any other legislation.

INSTRUCTIONS

- Report amounts in thousands of Canadian dollars.
- This report should cover Canadian operations only. Please exclude foreign branches, subsidiaries and affiliates.

- If actual financial data is not available, estimates may be used in this report.

DEFINITIONS

The term "parent, subsidiaries and affiliates" used in this questionnaire covers non-consolidated parent, subsidiary and affiliated corporations; head offices, branches and divisions; affiliated joint ventures and partnerships; directors; officers and individual shareholders. Affiliated companies are corporations which are effectively controlled or whose operations are significantly influenced by the reporting entity or a common owner or group of owners.

FURTHER INFORMATION

Please refer to "Financial Statistics Reporting Guide" or contact:

Operations and Integration Division
Statistics Canada,
Ottawa, Canada,
K1A 0T6

Telephone: (877) 898-6686
Fax: (800) 552-4428

REPORTING ENTITY

1. This report covers (please mark one only)

- a single corporation
- part of a corporation (e.g. branch, division, head office)
- consolidated family of corporations
- Other (please specify) _____

2. Does this reporting entity have investments in the partnerships or joint ventures?

- Yes
- No

3. Has this reporting entity purchased or sold business(es) during the current reporting period which affects assets or revenue by more than 10%?

- Yes
- No

● Please verify the enclosed profile of the entities covered by this report, correct it if necessary and return the profile document with the completed questionnaire.

● Please explain any significant changes in accounting or basis of reporting affecting the comparison of this return with that of the previous quarter.

This report covers the period from:

month _____ 2 0 | | | to month _____ 2 0 | | |

Company official to contact regarding this form
(Please print)

Position

Signature

Telephone number
()

Facsimile number
()

Date

E-Mail address

FOR STATISTICS CANADA USE ONLY

Rec'd:

Captured:

Analyzed:

Edited:

SECTION A - BALANCE SHEET

01 Balance Sheet Date

year	month	day			

ASSETS		Thousands of dollars	
1.	Cash and demand deposits (report term deposits which are cashable on demand in item 2)		
1.1	Cash and Canadian currency demand deposits in chartered bank branches in Canada	11110	
1.8	Other Canadian currency demand deposits.	11180	
1.9	Foreign currency demand deposits.	11200	
2.	Term deposits (including bearer term notes, swapped deposits and deposit receipts, certificates, debentures and like instruments)		
2.1	Canadian currency		
2.1.1	Chartered bank branches in Canada	11631	
2.1.9	Other institutions	11638	
2.9	Foreign currency	11680	
3.	Accounts receivable and accrued revenue (before deducting allowance for doubtful accounts; report amounts due from parent, subsidiaries and affiliates in item 4.3)	12100	
3.9	Less: Allowance for doubtful accounts	12800	()
4.	Investment in and claims on parent, subsidiaries and affiliates (before deducting provision for losses-item 19; including individual shareholders, directors and officers)		
4.1	Cost of shares		
4.1.1	Canadian corporations.	14110	
4.1.2	Foreign corporations	14120	
4.2	Accumulated earnings less dividends received (to be used only by companies employing the equity method of accounting for unconsolidated subsidiaries and affiliates).	14200	
4.3	Loans, advances, mortgages, notes, bonds and other claims		
4.3.1	Canadian companies, officers and shareholders	14310	
4.3.2	Foreign companies, officers and shareholders	14320	
5.	Canadian investments - non-affiliates, at amortized cost (before deducting provisions or allowances for losses - item 19)		
5.1	Government of Canada treasury bills	15110	
5.2	Bankers' acceptances and finance and other short-term paper (with an original term to maturity of less than one year; including provincial and municipal government short-term bills and notes)	15120	
5.3	Government of Canada debt	15130	
5.4	Provincial and municipal government debt (excluding short-term bills and notes - item 5.2)	15140	
5.5	Corporate bonds and debentures (including mortgage bonds; excluding short-term paper - item 5.2)	15150	
5.6	Corporate shares (including share warrants and options)	15160	
5.9	Other Canadian investments (including precious metals, gold and silver certificates, commodity options, etc.)	15180	
6.	Foreign investments - non-affiliates, at amortized cost (before deducting provisions or allowances for losses - item 19)	15200	
7.	Mortgage loans to non-affiliates (before deducting provisions or allowances for losses - item 19)	16000	
8.	Non-mortgage loans to non-affiliates (before deducting provisions or allowances for losses - item 19)	17000	
9.	Real estate held for income (including properties held for sale; before deduction of accumulated depreciation and encumbrances)	18320	
10.	Land and depreciable assets - gross (including real estate and ground rents, machinery, equipment, buildings, leasehold improvements, etc. for own use and vehicles and equipment in the hands of lessees under operating leases; before deduction of accumulated depreciation and amortization, and encumbrances)	18310	
11.	Less: Accumulated depreciation on real estate held for income and fixed assets	18350	()
12.	Intangible assets (net of accumulated amortization)	19100	
19.	Other assets (including provision for losses and write-downs of investments)	19800	
20.	TOTAL ASSETS	10000	
LIABILITIES			
21.	Accounts payable and accrued liabilities (report amounts due to parent, subsidiaries and affiliates in item 23)	22100	
22.	Income taxes payable (report income taxes recoverable in item 3)	23000	
23.	Amounts owing to parent, subsidiaries and affiliates (including accounts payable, notes, loans, mortgages and bonds)		
23.1	In Canada	24100	
23.2	Outside Canada	24200	

SECTION A – BALANCE SHEET - Concluded

01	Balance Sheet Date		
	year	month	day

		Thousands of dollars	
LIABILITIES - Continued			
Borrowing - non-affiliates			
24.	Loans and overdrafts (exclude mortgage loans - item 24.4)		
24.1	Chartered bank branches in Canada	25110	
24.1.1			
24.1.9	From others (including capital lease obligations)	25180	
24.2	Bankers' acceptances and short-term notes and paper (original term to maturity of less than one year)	25200	
24.3	Bonds and debentures (including only debt securities offered to the public and private placements; including mortgage bonds)	25400	
24.4	Mortgage loans	25500	
28.	Deferred income taxes	27000	
29.	Minority interest in subsidiaries consolidated in this report	28000	
30.	Other liabilities (including unrealized gains and losses on the translation of foreign currency)	29000	
35.	TOTAL LIABILITIES	20000	
SHAREHOLDERS' EQUITY			
Share capital			
36.	Preferred	31100	
36.1			
36.2	Common	31200	
37.	Contributed surplus	33000	
38.	Appraisal increase credits (debits)	34000	
39.	Retained earnings	35000	
40.	TOTAL SHAREHOLDERS' EQUITY	30000	
50.	TOTAL LIABILITIES AND SHAREHOLDERS' EQUITY	39000	

SUPPLEMENTARY QUESTION

Amounts owing to parent, subsidiaries and affiliates (related to item 23)			
(i)	interest bearing debt	61405	
(ii)	non-interest bearing debt	61407	

SECTION B - STATEMENT OF RETAINED EARNINGS

55.	Retained earnings, opening balance	38100	
ADD(DEDUCT):			
56.	Net income (loss) for the current period (transfer from item 93)	38200	
59.	Other addition (deductions) - please specify major items	38700	
DEDUCT:			
60.	Dividends declared		
60.1	Cash - Preferred Shares	38804	
	Common Shares	38806	
	Cash dividends declared - total	38810	
60.2	Other	38880	
70.	RETAINED EARNINGS, CLOSING BALANCE (SHOULD EQUAL ITEM 39)	38000	

YEAR-END AND PRIOR YEAR'S ADJUSTMENTS

If this report covers your fourth fiscal quarter and the reported revenues and expenses include year-end adjustments (eg. adjustments from preliminary estimates to actual, or year-end accruals not made in the interim financial statements)

OR

If this report includes adjustments through retained earnings pertaining to the prior year's operations (eg. year-end adjustments of the prior year made after the prior year's fourth quarter report was prepared):

SPECIFY BELOW THE REVENUE OR EXPENSE ACCOUNTS AFFECTED BY THE ADJUSTMENTS AND THE AMOUNT OF INCREASE OR DECREASE. Report only adjustments in excess of \$500 thousand.

ITEM DESCRIPTION	ITEM NUMBER	INCREASE	DECREASE
Thousands of dollars			

SUPPLEMENTARY QUESTIONS – INCOME STATEMENT

A.	Dividends received from corporations accounted for on the equity method and credited to the investment accounts		
		Canadian	75100
	Foreign		75200
B.	Unusual revenues, expenses, gains/losses from continuing business operations (reported in income statement)		74700
C.	Interest expense on debt owing to parent, subsidiaries and affiliates (reported in item 84)		74100
D.	Average number of people employed during the reporting period		80003
E.	Does your enterprise have revenue between divisions or companies that are eliminated upon the consolidation of your accounts? <input checked="" type="checkbox"/> No <input type="checkbox"/> Yes (If yes specify)	40012	Total amount of these eliminations (in thousands of dollars)

Approximately how many hours did you spend collecting the data and completing this questionnaire?

80005		hours
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For information only