



# Quarterly Survey of Financial Statements Financial Services

**F6**

Confidential document when completed

Please return completed copy within 30 days of quarter end

Si vous préférez ce questionnaire en français, veuillez cocher

Please correct name and address, if necessary

## INFORMATION

### AUTHORITY

"This survey is conducted under the authority of the Statistics Act, Revised Statutes of Canada, 1985, c. S-19. Completion of this questionnaire is a legal requirement under the Statistics Act."

### PURPOSE

The information you provide is used in the preparation of industry financial statements for the Canadian financial sector; also, these financial statements are used as inputs to the National Economic Accounts.

### CONFIDENTIALITY

Statistics Canada is prohibited by law from publishing any statistics which would divulge information obtained from this survey that relates to any identifiable institution without the previous written consent of that institution. The data reported on this questionnaire will be treated in confidence, used for statistical purposes and published in aggregate form only. The confidentiality provisions of the Statistics Act are not affected by either the Access to Information Act or any other legislation.

### INSTRUCTIONS

- Report amounts in thousands of Canadian dollars.
- This report should cover Canadian operations only. Please exclude foreign branches, subsidiaries and affiliates.

- If actual financial data is not available, estimates may be used in this report.

### DEFINITIONS

The term "parent, subsidiaries and affiliates" used in this questionnaire covers non-consolidated parent, subsidiary and affiliated corporations; head offices, branches and divisions; affiliated joint ventures and partnerships; directors, officers and individual shareholders. Affiliated companies are corporations which are effectively controlled or whose operations are significantly influenced by the reporting entity or a common owner or group of owners.

### FURTHER INFORMATION

Please refer to "Financial Statistics Reporting Guide" or contact:

Operations and Integration Division  
Statistics Canada,  
Ottawa, Canada,  
K1A 0T6

Telephone: (877) 898-6686  
Fax: (800) 552-4428

## REPORTING ENTITY

1. This report covers (please mark one only)

- a single corporation
- part of a corporation (e.g. branch, division, head office)
- consolidated family of corporations
- Other (please specify) \_\_\_\_\_

2. Does this reporting entity have investments in the partnerships or joint ventures?

- Yes
- No

3. Has this reporting entity purchased or sold business(es) during the current reporting period which affects assets or revenue by more than 10%?

- Yes
- No

● Please verify the enclosed profile of the entities covered by this report, correct it if necessary and return the profile document with the completed questionnaire.

● Please explain any significant changes in accounting or basis of reporting affecting the comparison of this return with that of the previous quarter.

This report covers the period from:

month     to month

Company official to contact regarding this form  
(Please print)

Position

Signature

Telephone number

Facsimile number

E-Mail address

Date

## FOR STATISTICS CANADA USE ONLY

Rec'd:

Captured:

Analyzed:

Edited:

## SECTION A - BALANCE SHEET

01	Balance Sheet Date
_ _	_ _ _ _
year	month day

	Thousands of dollars	
<b>ASSETS</b>		
1. <b>Cash and demand deposits</b> (report term deposits which are cashable on demand in item 2)		
1.1 Cash and Canadian currency demand deposits in chartered bank branches in Canada . . . . .	11110	
1.8 Other Canadian currency demand deposits . . . . .	11180	
1.9 Foreign currency demand deposits . . . . .	11200	
2. <b>Term deposits</b> (including bearer term notes, swapped deposits and deposit receipts, certificates, debentures and like instruments)		
2.1 Canadian currency		
2.1.1 Chartered bank branches in Canada . . . . .	11631	
2.1.9 Other institutions . . . . .	11638	
2.9 Foreign currency . . . . .	11680	
3. <b>Accounts receivable and accrued revenue</b> (before deducting allowance for doubtful accounts; report amounts due from parent, subsidiaries and affiliates in item 4.3) . . . . .	12100	
3.9 Less: Allowance for doubtful accounts . . . . .	12800	)
4. <b>Investment in and claims on parent, subsidiaries and affiliates</b> (before deducting provision for losses-item 19; including individual shareholders, directors and officers)		
4.1 Cost of shares		
4.1.1 Canadian corporations . . . . .	14110	
4.1.2 Foreign corporations . . . . .	14120	
4.2 Accumulated earnings less dividends received (to be used only by companies employing the equity method of accounting for unconsolidated subsidiaries and affiliates) . . . . .	14200	
4.3 Loans, advances, mortgages, notes, bonds and other claims		
4.3.1 Canadian companies, officers and shareholders . . . . .	14310	
4.3.2 Foreign companies, officers and shareholders . . . . .	14320	
5. <b>Canadian investments - non-affiliates, at amortized cost</b> (before deducting provisions or allowances for losses - item 19; excluding mortgage loans - item 7, other loans - item 8)		
5.1 Government of Canada treasury bills . . . . .	15110	
5.2 Bankers' acceptances and finance and other short-term paper (with an original term to maturity of less than one year; including provincial and municipal government short-term bills and notes) . . . . .	15120	
5.3 Government of Canada debt . . . . .	15130	
5.4 Provincial and municipal government debt (excluding short-term bills and notes - item 5.2) . . . . .	15140	
5.5 Corporate bonds and debentures (including mortgage bonds; excluding short-term paper - item 5.2) . . . . .	15150	
5.6 Corporate shares (including share warrants, options and fund units) . . . . .	15160	
5.9 Other Canadian investments (including precious metals, gold and silver certificates, commodity options, etc.) . . . . .	15180	
6. <b>Foreign investments - non-affiliates, at amortized cost</b> (before deducting provisions or allowances for losses - item 19) . . . . .	15200	
7. <b>Mortgage loans to non-affiliates</b> (before deducting provisions or allowances for losses - item 19) . . . . .	16000	
8. <b>Non-mortgage loans to non-affiliates</b> (before deducting provisions or allowances for losses - item 19) . . . . .	17000	
9. <b>Real estate held for income</b> (including properties held for sale; before deduction of accumulated depreciation and encumbrances) . . . . .	18320	
10. <b>Land and depreciable assets - gross</b> (including real estate and ground rents, machinery, equipment, buildings, leasehold improvements, etc. for own use and vehicles and equipment in the hands of lessees under operating leases; before deduction of accumulated depreciation and amortization, and encumbrances) . . . . .	18310	
11. <b>Less: Accumulated depreciation on real estate held for income and fixed assets</b> . . . . .	18350	( )
12. <b>Intangible assets</b> (net of accumulated amortization) . . . . .	19100	
19. <b>Other assets</b> (including provision for losses and write-downs of investments; report future tax debits in item 28) . . . . .	19800	
20. <b>TOTAL ASSETS</b> . . . . .	<b>10000</b>	
<b>LIABILITIES</b>		
21. <b>Accounts payable and accrued liabilities</b> (report amounts due to parent, subsidiaries and affiliates in item 23) . . . . .	22100	
22. <b>Income taxes payable</b> (report income taxes recoverable in item 3) . . . . .	23000	
23. <b>Amounts owing to parent, subsidiaries and affiliates</b> (including accounts payable, notes, loans, mortgages and bonds)		
23.1 In Canada . . . . .	24100	
23.2 Outside Canada . . . . .	24200	

<b>SECTION A – BALANCE SHEET - Concluded</b>		01	Balance Sheet Date year month day
<b>LIABILITIES - Continued</b>		Thousands of dollars	
<b>24. Borrowing - non-affiliates</b>			
24.1	Loans and overdrafts (exclude mortgage loans - item 24.4)		
24.1.1	With chartered bank branches in Canada .....	25110	
24.1.9	With others (including capital lease obligations) .....	25180	
24.2	Bankers' acceptances and short-term notes and paper (original term to maturity of less than one year) ..	25200	
24.3	Bonds and debentures (including only debt securities offered to the public and private placements; including mortgage bonds) .....	25400	
24.4	Mortgage loans .....	25500	
28.	<b>Future income taxes</b> (include debit balances) .....	27000	
29.	<b>Minority interest in subsidiaries consolidated in this report</b> .....	28000	
30.	<b>Other liabilities</b> (including unrealized gains and losses on the translation of foreign currency) .....	29000	
35.	<b>TOTAL LIABILITIES</b> .....	<b>20000</b>	
<b>SHAREHOLDERS' EQUITY</b>			
<b>36. Share capital</b>			
36.1	Preferred .....	31100	
36.2	Common .....	31200	
37.	<b>Contributed surplus</b> .....	33000	
38.	<b>Appraisal increase credits (debits)</b> .....	34000	
39.	<b>Retained earnings</b> .....	35000	
40.	<b>TOTAL SHAREHOLDERS' EQUITY</b> .....	<b>30000</b>	
50.	<b>TOTAL LIABILITIES AND SHAREHOLDERS' EQUITY</b> .....	<b>39000</b>	

<b>SUPPLEMENTARY QUESTION</b>			
<b>Amounts owing to parent, subsidiaries and affiliates</b> (related to item 23)			
	(i) interest bearing debt .....	61405	
	(ii) non-interest bearing debt .....	61407	

<b>SECTION B - STATEMENT OF RETAINED EARNINGS</b>			
55.	<b>Retained earnings, opening balance</b> .....	38100	
<b>ADD(DEDUCT):</b>			
56.	<b>Net income (loss) for the current period</b> (transfer from item 93) .....	38200	
59.	<b>Other addition (deductions)</b> - please specify major items .....	38700	
<b>DEDUCT:</b>			
60.	<b>Dividends declared</b>		
60.1	Cash Preferred Shares	38804	
	Common Shares	38806	
	Cash dividends declared - total .....	38810	
60.2	Other .....	38880	
70.	<b>RETAINED EARNINGS, CLOSING BALANCE</b> (SHOULD EQUAL ITEM 39) .....	<b>38000</b>	

<b>YEAR-END AND PRIOR YEAR'S ADJUSTMENTS</b>			
If this report covers your fourth fiscal quarter and the reported revenues and expenses include year-end adjustments (eg. adjustments from preliminary estimates to actual, or year-end accruals not made in the interim financial statements)			
OR			
If this report includes adjustments through retained earnings pertaining to the prior year's operations (eg. year-end adjustments of the prior year made after the prior year's fourth quarter report was prepared):			
<b>SPECIFY BELOW THE REVENUE OR EXPENSE ACCOUNTS AFFECTED BY THE ADJUSTMENTS AND THE AMOUNT OF INCREASE OR DECREASE.</b> Report only adjustments in excess of \$500 thousand.			
ITEM DESCRIPTION	ITEM NUMBER	INCREASE	DECREASE
Thousands of dollars			

## SECTION C – INCOME STATEMENT

02	From	□□	□□	□□	Period Covered
03	To	□□	□□	□□	
		year	month	day	

		Thousands of dollars	
	<b>REVENUE</b> (net of goods and services tax)		
71.	<b>Commissions and fees</b>		
71.1	Insurance and pension business .....	44500	
71.2	Sale of real estate .....	44100	
71.3	Securities brokerage .....	44200	
71.4	Other .....	44800	
72.	<b>Interest revenue from Canadian sources</b> (including amortization of discounts and premiums on investments; report interest from parent, subsidiaries and affiliates in item 72.2)		
72.1	Bonds and debentures .....	45100	
72.2	Other .....	45800	
73.	<b>Interest revenue from foreign sources</b> (including amortization of discounts and premiums on	47200	
74.	<b>Dividends</b> (excluding dividends from corporations accounted for on the equity basis)		
74.1	From Canadian corporations .....	46000	
74.2	From foreign corporations .....	47100	
75.	<b>Gains (losses) on the sale of assets</b> (before income taxes; report extraordinary items under item 92.3) .....	48000	
76.	<b>Other revenue</b> .....	49000	
77.	<b>TOTAL REVENUE</b> .....	<b>40000</b>	
	<b>EXPENSES</b>		
78.	<b>Purchased goods, materials and services</b> .....	55001	
79.	<b>Wages and Salaries</b> .....	55210	
80.	<b>Employer portion of employee benefits</b> .....	55220	
81.	<b>Indirect taxes</b> .....	55002	
82.	<b>Depreciation</b>		
82.1	Construction buildings, structures and leasehold improvements .....	52120	
82.2	Machinery and equipment .....	52130	
83.	<b>Amortization of</b>		
83.1	Intangible assets .....	52310	
83.2	Deferred charges .....	52320	
83.3	Other .....	52380	
84.	<b>Interest expense</b> .....	53000	
85.	<b>Other expenses</b> (include only: bad debt expense; write-down of accounts receivable and inventory; charitable donations; resource royalties) .....	55003	
86.	<b>TOTAL EXPENSES</b> .....	<b>50000</b>	
87.	<b>Gains (losses) on the translation of foreign currency</b> (realized and unrealized; including amortization of foreign exchange gains (losses) on monetary assets and liabilities; excluding unrealized gains and losses on the translation of financial statements of self-sustaining foreign operations - item 30) .....	56100	
88.	<b>Write-offs and valuation adjustments, not classified as extraordinary</b> (report extraordinary items under item 92.3) .....	56200	
89.	<b>Income (loss) before income taxes</b> .....	<b>58460</b>	
90.	<b>Canadian income taxes</b>		
90.1	Current .....	57100	
90.2	Future .....	57200	
91.	<b>Income (loss) after income taxes</b> .....	<b>58470</b>	
92.	<b>Other gains (losses)</b>		
92.1	Equity in net income of unconsolidated subsidiaries, affiliates, joint ventures and partnerships (to be used only if investment carried on the equity basis) .....	58100	
92.2	Minority shareholders' portion of net income of subsidiaries and affiliates consolidated in this report .....	58200	
92.3	Extraordinary gains (realized and unrealized; net of income taxes) .....	58300	
93.	<b>NET INCOME (LOSS)</b> (TRANSFER TO ITEM 56) .....	<b>58480</b>	

**SUPPLEMENTARY QUESTIONS – INCOME STATEMENT**

A.	<b>Dividends received from corporations accounted for on the equity method and credited to the investment accounts</b>		
	Canadian .....	75100	
	Foreign .....	75200	
B.	<b>Unusual revenues, expenses, gains/losses from continuing business operations</b> (reported in income statement) .....	74700	
C.	<b>Interest expense on debt owing to parent, subsidiaries and affiliates</b> (reported in item 84) .....	74100	
D.	<b>Average number of people employed during the reporting period</b> .....	80003	
E.	<b>Does your enterprise have revenue between divisions or companies that are eliminated upon the consolidation of your accounts?</b> <input type="checkbox"/> No <input type="checkbox"/> Yes (If yes specify)	40012	<b>Total amount of these eliminations</b> (in thousands of dollars)

**Approximately how many hours did you spend collecting the data and completing this questionnaire?**

80005		hours
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For information only